

STATEMENT OF FINANCIAL INTERESTS INSTRUCTIONS

Please print neatly in capital letters. If at any time you require more space than has been provided, please attach an 8 1/2" x 11" piece of paper to the form.

Items 01 through 07 are for current information.

- Block 1** Please fill in your last name, first name, middle initial and suffix (if applicable) in the boxes provided. Public office candidates should use the exact name used on official nomination petition or papers.
- Block 2** List your work or residence address, daytime phone number and county of residence in the space provided.
- Block 3** Please check the block or blocks to indicate your status. See definitions on page 1.
- Block 4** Please check the appropriate block for the position listed in the blocks below. Please complete this block with the name of the public position(s) for which you are seeking, currently hold or have held in the **prior** calendar year. Please be sure to list **all** public position(s) on this form, including job titles, member or commissioner.
- Block 5** Please fill in the political subdivision of the position(s) you are seeking, hold or have held. Please complete this block with the name of the township, borough, board, commission, agency, authority, etc. in which you are seeking, currently hold or have held a public position(s) in the **prior** calendar year. Please be sure to list **all** applicable political subdivision(s) for public position(s) listed above.

Note: List information for all boards and commissions on which you serve or have served as part of your public office in Blocks 4 and 5.

- Block 6** Please list your current occupation or profession. This information may have already been stated in block 4.
- Block 7** List the prior calendar year for which you are filing this form. All information provided in blocks 08 through 15 pertain to the calendar year designated in block 07.
- Block 8** **REAL ESTATE INTERESTS:** This block contains the address of any property which was involved in transactions (leasing, purchasing, or condemnation proceedings of real estate interests) with the Commonwealth or any other governmental body. If you have no properties which have been involved in transactions with the Commonwealth or any other governmental body, then check "NONE".
- Block 9** **CREDITORS:** This block contains the name, address and interest rate of any debt over \$6,500. Do not report a mortgage or equity loan on your home (or secondary home), or loans or credit between the persons required to file and a spouse, child, parent or sibling. Car loans, credit cards, personal loans and lines of credit must be listed on the form if in excess of \$6500 in the calendar year. If you do not have reportable debts, then check "NONE".
- Block 10** **DIRECT OR INDIRECT SOURCES OF INCOME** This block contains the name and address of any source of income of \$1,300 or more of gross income. List the name and address of all employers (including your current public position). Also, include any payment, fee, salary, expense, allowance, forbearance, forgiveness, interest income, dividend, royalty, rental income, capital gain, reward, severance payment, prize winning, including tax exempt income. **DO NOT INCLUDE:** gifts, governmentally mandated payments, retirement, pension or annuity payments funded totally by contributions of the person filing this form. If you did not receive a reportable income, then check "NONE".
- Block 11** **GIFT:** Provide the name and address of the source and the circumstances and value of any gift received valued at \$250 or more. Do not report political contributions, gifts from friends and family members (although the term friend does not include a registered lobbyist), and reasonable loans made in the ordinary course of business. If you did not receive any gifts, then check "NONE".
- Block 12** **EXPENSE/REIMBURSEMENT:** List the name and address of any source and value of reimbursement for transportation, lodging or hospitality which totals \$650 or more per event. This is for events which occur in connection with your public position. Do not report reimbursements made by a governmental body or associations of public officials/employees in which you serve. If you do not have any reportable reimbursements, then check "NONE".
- Block 13** **OFFICE, DIRECTORSHIP OR EMPLOYMENT IN ANY BUSINESS ENTITY:** List your office, directorship or employment in any business. If you don't have a position to report, then check "NONE".
- Block 14** **FINANCIAL INTERESTS:** List the name, address and position held in any business for profit if you own more than 5% of the equity or more than 5% of the assets of economic interest in indebtedness. If you do not have any interests to report, then check "NONE".
- Block 15** **TRANSFERRED BUSINESS INTERESTS:** List the name and address of any business which the filer transferred financial interest (as defined in Item 14) to a member of your immediate family (parent, spouse, child, brother or sister) as well as the interest held, relationship of the individual, and date of transfer. If you did not transfer any business interests, then check "NONE".

Please sign the form and enter the current date.

COMMONWEALTH OF PENNSYLVANIA
STATE ETHICS COMMISSION
309 FINANCE BUILDING
P.O. BOX 11470
HARRISBURG, PA 17108-1470
(717) 783-1610 or Toll Free 1-800-932-0936



STATEMENT OF FINANCIAL INTERESTS

This form must be completed and filed by:

- A** Candidate - Persons seeking elected state, county and local public offices, including first-time candidates and incumbents seeking re-election.
- B** Nominee - Persons nominated for public office by the Governor, other public officials or governmental body subject to confirmation.
- C** Public Official - Persons serving as current state/county/local public officials (elected or appointed) and persons who served as public officials during the reporting year (former public officials), including members of boards or commissions, except those that are advisory.
- D** Public Employee - Persons serving as current state/county/local public employees as defined in the Ethics Law or persons who served as public employees during the reporting year (former public employees) as defined in the Ethics Law or the regulations of the State Ethics Commission.
- E** Former public officials and public employees must file for the year after they terminate public service.

Instructions page 2

Form page 3

Filing Location Chart page 4

IMPORTANT: Please read all instructions carefully prior to completion of form. Also, **review the filing chart (pg. 4) for proper filing location.** Any questions may be directed to the State Ethics Commission (717) 783-1610 or Toll Free 1-800-932-0936.

This Form is required to be filed pursuant to the provisions of the Public Official and Employee Ethics Act, Act 93 of 1998, Chapter 11, 65 PA.C.S. §1101, et seq.

CAUTION: Reporting thresholds have changed in some areas of this form as of 1/1/98. **DO NOT USE FORMS PRINTED IN PRIOR YEARS TO COMPLETE FILING REQUIREMENT FOR 1998 AND ALL SUBSEQUENT YEARS.**

LOCAL OFFICE CANDIDATES AND LOCAL ELECTED OFFICIALS: LIST THE COUNTY WHERE YOU FILE(D) YOUR NOMINATION PETITIONS, NOMINATION PAPERS OR NOMINATION CERTIFICATE.

STATEWIDE, STATE SENATE AND HOUSE CANDIDATES, PUBLIC EMPLOYEES, APPOINTED OFFICIALS AND GUBERNATORIAL NOMINEES: LIST THE COUNTY WHERE YOU RESIDE.

| WHO MUST FILE | WHITE COPY (Orig.) | YELLOW COPY | WHEN TO FILE |
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| A. STATUS BLOCK A - CANDIDATES Statewide State Senate State House Supreme Court Superior Court Common Pleas Court Traffic Court Municipal Court Commonwealth Court } non-incumbents only | State Ethics Commission 309 Finance Building P.O. Box 11470 Harrisburg, PA 17120-1470 | Append to nomination petition when filed with the State Bureau of Elections 304 North Office Building Harrisburg, PA 17120-0029 | ON OR BEFORE THE LAST DAY FOR FILING A PETITION TO APPEAR ON THE BALLOT FOR ELECTION |
| Constables / Deputy Constables Countywide City Borough Township Municipality (home rule charter) | State Ethics Commission File with the Clerk/ Secretary in the Municipality in which you are a candidate | Append to nomination petition when filed with County Board of Elections | |
| District Justice (non-incumbents only) | File with the County in the district in which you are a candidate | Append to nomination petition when filed with County Board of Elections | |
| School Director | File in the School District where you are a candidate | | |
| Announced Write-in Unannounced Write-in Winners of Nominations Unannounced Write-in Winners of Elections | For state office file with Ethics Commission. For county or local office file with governing authority of political subdivision. | This copy is not required to be filed. | |
| B. STATUS BLOCK B - NOMINEE State Level County/Local Level | State Ethics Commission Governing authority for political subdivision | File with the Official or Body vested with the power of confirmation | |
| C. STATUS BLOCK C - PUBLIC OFFICIAL Commonwealth Public Officials such as: Members, Heads, Deputies of Executive Agencies, Departments, Boards and Commissions. State House Member State Senate Member Other State Officials such as: Members, Heads, Deputies of Legislative and Independent Agencies, Boards and Commissions. | State Ethics Commission 309 Finance Building P.O. Box 11470 Harrisburg, PA 17120-1470 | File with the agency, department, body, board or commission in which employed or appointed and with Secretary of Administration, Room 207 Finance Building, Harrisburg, PA 17120 File with the House Chief Clerk or Senate Secretary (Whichever Applies) File with the Agency, Authority, Department, Body, Board or Commission in which employed or appointed. | FILE NO LATER THAN MAY 1 OF EACH YEAR A POSITION IS HELD AND OF THE YEAR AFTER LEAVING SUCH A POSITION. |
| Constables / Deputy Constables Local Public Officials such as: County City Borough Township Municipal (home rule) Municipal Authority School District (Judges, District Magistrates do not file) | State Ethics Commission File only in your Political Subdivision | | |
| D. STATUS BLOCK D - PUBLIC EMPLOYEE Commonwealth PUBLIC EMPLOYEE (Executive, Leg. & Judicial & Independent Agencies) | File only with your Employer | This copy is not required to be filed | |
| County City Borough Township Municipal (home rule) Municipal Authority School District } EMPLOYEE | File only in your Political Subdivision | | |